



Bi-Level Positive Airway Pressure with Backup Rate (BIPAP ST) for Treatment of Breathing Related Sleep Disorders
Prior Authorization (PA) Request Form
(Incomplete Form May Delay Processing)

Table with 2 columns: Provider Information and Member Information. Rows include fields for Ordering Physician Name, NPI #, Member Name, Office Phone/Fax, Contact Name, Member ID, Vendor Name, NPI #, Member's Date of Birth, Vendor Phone/Fax, Contact Name, Member's Phone #.

ICD-10 Code(s):

Please answer questions below

HCPCS code(s) (REQUIRED):

Is this request for E0471? Yes No (If no, do not use this form.)

If this request is for rental of E0471, please provide the following information:

What is the start date of the rental? \_/ \_/ \_

Are symptoms characteristic of sleep-associated hypoventilation, such as daytime hyper somnolence, excessive fatigue, morning headache, cognitive dysfunction, dyspnea, etc., documented in the member's medical record? Yes No

Does the member have one of the four respiratory disorders noted below? Yes No

Complete one of the following four sections as applicable:

1. Restrictive Thoracic Disorders:

A. Is there documentation in the member's medical record of a neuromuscular disease (for example, amyotrophic lateral sclerosis - ALS) or a severe thoracic cage abnormality (for example, post-thoracoplasty for TB)? Yes No

B. Is there documentation of one of the following?

1. An arterial blood gas PaCO2, done while awake and breathing the member's prescribed FIO2, which is >= 45mmHg? Yes No

2. Sleep oximetry demonstrating oxygen saturation < 88%, > 5 minutes of nocturnal recording time (minimum recording time of 2 hours) done while breathing the member's prescribed FIO2? Yes No

3. For a neuromuscular disease (only), either a or b:

a. Maximal inspiratory pressure < 60cm H2O? Yes No

b. Forced vital capacity < 50% predicted? Yes No



**Bi-Level Positive Airway Pressure with Backup Rate (BIPAP ST) for Treatment of Breathing Related Sleep Disorders**  
**Prior Authorization (PA) Request Form**  
**(Incomplete Form May Delay Processing)**

C. Does Chronic Obstructive Pulmonary Disease (COPD) contribute significantly to the member's pulmonary limitation?.....  Yes  No

**2. Severe Chronic Obstructive Pulmonary Disease (COPD):**

***For members who started an E0471 any time after a period of initial use of an E0470 device:***

A. Does the member's arterial blood gas PaCO<sub>2</sub>, done while awake and breathing the member's prescribed FIO<sub>2</sub>, shows that the PaCO<sub>2</sub> worsens  $\geq$  7mmHg compared to ABG result performed to qualify the member for the E0470 device?? .....  Yes  No

B. Does a facility-based PSG demonstrate oxygen saturation  $\leq$  88% for  $\geq$  5 minutes of nocturnal recording time (minimum recording time of 2 hours) while using an E0470 device (that is not caused by obstructive upper airway events – i.e., AHI < 5)? .....  Yes  No

***For members who used an E0470 device x 61 days and now require E0471:***

A. Does the member's arterial blood gas PaCO<sub>2</sub> done while awake and breathing his/her prescribed FIO<sub>2</sub>, still remain  $\geq$  52 mm Hg? .....  Yes  No

B. Does the member's sleep oximetry, while breathing with the E0470 device, demonstrate oxygen saturation  $\leq$  88% for  $\geq$  5 minutes of nocturnal recording time (minimum recording time of 2 hours)? .....  Yes  No

C. Was the above oximetry completed while breathing oxygen at 2L/min or the member's prescribed FIO<sub>2</sub> (whichever is higher)? .....  Yes  No

**3. Central sleep apnea (CSA) or complex sleep apnea (Comp SA):**

A. Prior to initiating therapy, did the member have a monitored, facility-based sleep study which documented the following (i and ii)?

1. The diagnosis of central sleep apnea (CSA) or complex sleep apnea (CompSA)? .....  Yes  No

2. Significant improvement of the sleep-associated hypoventilation with the use of an E0470 device on the settings that will be prescribed for initial use at home, while breathing the member's prescribed FIO<sub>2</sub>? .....  Yes  No

**4. Hypoventilation syndrome:**

A. Is an E0470 device currently being used? .....  Yes  No

B. Does the member's spirometry show an FEV<sub>1</sub>/FVC  $\geq$  70%. .....  Yes  No

C. Does the member's arterial blood gas PaCO<sub>2</sub>, done while awake and breathing his/her prescribed FIO<sub>2</sub>, show that the PaCO<sub>2</sub> worsens  $\geq$  7mmHg compared to ABG result performed to qualify the member for the E0470 device?.....  Yes  No

D. Did a facility-based PSG or HST demonstrate oxygen saturation  $\leq$  88% for  $\geq$  5 minutes of nocturnal recording time (minimum recording time of 2 hours) that is not caused by obstructive upper airway events (i.e. AHI <5)



**Bi-Level Positive Airway Pressure with Backup Rate (BIPAP ST) for Treatment of Breathing Related Sleep Disorders  
Prior Authorization (PA) Request Form  
(Incomplete Form May Delay Processing)**

while using and E0470 device? .....  Yes  No

**If this request is for purchase, please provide the following information:**

- 1. Does documentations in the member's medical record reflect progress of relevant symptoms? .....  Yes  No
- 2. Does the compliance chip show the member consistently uses the device at least 4 hours per 24 hours?  
.....  Yes  No

***If no, please provide a copy of the compliance download and medical records for review.***

I certify that I have appropriate authority to request an organization determination for the item(s) indicated on this request. I further certify that the patient's medical records accurately reflect the information provided. I understand that Experience Health Medicare Advantage <sup>SM</sup> (HMO) may request medical records for this patient at any time in order to verify this information.

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

**Please Return Completed Form to:**

Fax 1-919-765-7805

For questions please call Care Management at 1-833-941-0107.

Experience Health Medicare Advantage <sup>SM</sup> is a HMO plan. This plan has a Medicare contract. Enrollment in the plan depends on contract renewal.