

Corporate Medical Policy

Testing for Targeted Therapy of Non-Small-Cell Lung Cancer AHS - M2030

File Name: testing_for_targeted_therapy_of_non_small_cell_lung_cancer
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Description of Procedure or Service

Non-small cell lung cancer (NSCLC) is a heterogeneous group of cancers encompassing any type of epithelial lung cancer, other than small cell lung cancer (SCLC) which arise from the epithelial cells of the lung and include squamous cell carcinoma, large cell carcinoma, and adenocarcinoma (Thomas, 2023). Recently oncogenesis in NSCLC has been associated with mutations in the epidermal growth factor receptor (*EGFR*) or rearrangements of the anaplastic lymphoma kinase (*ALK*) gene or *ROS1* gene (Sequist & Neal, 20203).

For guidance concerning the use of circulating tumor cells in NSCLC, please refer to policy AHS-G2054 Liquid Biopsy.

For guidance concerning Tumor Mutational Burden Testing (TMB) and/or Microsatellite instability (MSI) analysis please refer to AHS-M2178 Microsatellite Instability and Tumor Mutational Burden Testing policy.

Related Policies:

Liquid Biopsy AHS-G2054

Genetic Cancer Susceptibility Panels Using Next Generation Sequencing AHS-M2066

General Genetic Testing, Germline Disorders AHS-M2145

General Genetic Testing, Somatic Disorders AHS-M2146

Microsatellite Instability and Tumor Mutational Burden Testing AHS-M2178

******Note: This Medical Policy is complex and technical. For questions concerning the technical language and/or specific clinical indications for its use, please consult your physician.***

Policy

BCBSNC will provide coverage for testing for targeted therapy of non-small-cell lung cancer when it is determined the medical criteria or reimbursement guidelines below are met.

Benefits Application

This medical policy relates only to the services or supplies described herein. Please refer to the Member's Benefit Booklet for availability of benefits. Member's benefits may vary according to benefit design; therefore member benefit language should be reviewed before applying the terms of this medical policy.

When Testing for Targeted Therapy of Non-Small-Cell Lung Cancer is covered

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1. For individuals with non-small cell lung cancer (NSCLC), molecular profiling to identify established actionable driver mutations (*ALK*, *BRAF*, *EGFR*, *ERBB2(HER2)*, *KRAS*, *MET* skipping, *NTRK1/2/3*, *RET*, *ROS1*) is considered medically necessary.
2. To direct therapy in patients with NSCLC, reimbursement is allowed for analysis of PD-L1 expression by immunohistochemistry.

When Testing for Targeted Therapy of Non-Small Cell Lung Cancer is not covered

1. *KRAS* molecular testing is considered not medically necessary as a routine stand-alone assay and as a sole determinant of targeted therapy.
2. To direct targeted therapy for individuals with NSCLC, analysis for genetic alterations in genes not mentioned above is considered not medically necessary.

Note: For 5 or more gene tests being run on the same platform, please refer to AHS-R2162 Reimbursement Policy.

Policy Guidelines

Primary lung cancer is one of the most common malignancies. In the United States, approximately 236,740 individuals are diagnosed and more than 130,180 deaths occur annually. Approximately 95% of lung cancers are either non-small cell lung cancers (NSCLC) or small cell, and 80%–85% are non-small cell lung cancers (NSCLC) (ACS, 2023; Midthun, 2022).

Specific molecular treatments for patients based on certain genetic mutations have been developed. Currently, *EGFR*, *ALK*, *ROS1*, *BRAF*, and *NTRK*-positive cases of NSCLC have FDA-approved targeted therapies (i.e. specific treatments for specific mutations), whereas *HER2*-, *MET*-, and *RET*-positive cases are treated with off-label therapies. Therapies for other mutations such as *RAS*, *PTEN*, *AKT1*, and *PIK3CA* mutations are currently in development. Still other genetic biomarkers, such as PD-L1 expression and microsatellite instability (MSI) testing may contribute to the management of NSCLC cases (Sequist & Neal, 2023).

EGFR tyrosine kinase mutations are observed in approximately 15% of NSCLC adenocarcinoma cases in the United States and occur more frequently in nonsmokers. The presence of an *EGFR* mutation usually confers a better prognosis and may be treated by *EGFR* tyrosine kinase inhibitors. (TKIs) such as erlotinib (Sequist & Neal, 2023).

ALK tyrosine kinase translocations are present in approximately 4% of NSCLC adenocarcinoma cases in the United States and occur more frequently in nonsmokers and younger patients. In advanced-stage NSCLC, the presence of an *ALK* translocation maybe treated by *ALK* TKIs such as crizotinib. Other effective TKIs include ceritinib, alectinib, brigatinib, and lorlatinib (Sequist & Neal, 2023). Studies have indicated that treatment with these therapeutic TKIs significantly prolongs progression free survival.

ROS1 is a receptor tyrosine kinase that acts as a driver oncogene in 1 to 2% of NSCLC cases by a translocation between *ROS1* and other genes, such as *CD74*. *ROS1* translocations are usually associated with younger patients, and individuals who have never smoked tobacco. Since the *ALK* and *ROS* tyrosine kinases are significantly homologous, the *ROS1* tyrosine kinase is treatable by *ALK* TKIs such as crizotinib (Sequist & Neal, 2023).

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HER2 (ERBB2) is an *EGFR* family receptor tyrosine kinase. Mutations in *HER2* have been detected in approximately 1 to 3% of NSCLC tumors. These mutations are most frequent in exon 20, resulting primarily in adenocarcinomas. These mutations are more prevalent among individuals who have never smoked tobacco (Sequist & Neal, 2023).

BRAF is a downstream signaling mediator of *KRAS* that activates the mitogen-activated protein kinase (MAPK) pathway. Activating *BRAF* mutations have been observed in 1 to 3% of NSCLC cases and are usually associated with smokers. *BRAF* inhibition with oral small-molecule TKIs has been used to treat this version of NSCLC. (Sequist & Neal, 2023).

MET is a tyrosine kinase receptor for hepatocyte growth factor (HGF). *MET* mutations include *MET* exon 14 skipping, *MET* gene amplification and *MET* and *EGFR* co-mutations. Tepotinib has shown evidence of promise in treating *MET*-exon 14 skipping cases. Crizotinib, an *ALK/ROS* inhibitor, has also been used to treat *MET*-exon 14 skipping cases of NSCLC. Other *MET*-specific, therapies are under investigation, such as glesatinib and savolitinib. For those with *MET* amplification, capmatinib or crizotinib are suggested lines of treatment, but are not yet approved for this indication by the FDA and continue to be a line of active research (Sequist & Neal, 2023).

The *RET* gene encodes a cell surface tyrosine kinase receptor that may be translocated in adenocarcinomas. These mutations occur more frequently in younger patients and in individuals who have never smoked tobacco. Off-label *RET* inhibitors, such as alectinib, have been used to treat *RET*-positive cases of NSCLC. In addition, the FDA has approved selpercatinib and pralsetinib for advanced NSCLC in adult patients (Sequist & Neal, 2023).

RAS mutations, in either *KRAS* or *NRAS* are associated with NSCLC. Activating *KRAS* mutations have been observed in approximately 20 to 25 % of lung adenocarcinomas in the United States and are generally associated with smoking. The presence of a *KRAS* mutation has a limited effect on overall survival in patients with early-stage NSCLC. *NRAS* is homologous to *KRAS* and associated with smoking as well; however, *NRAS* mutations comprise only 1% of NSCLC cases. The clinical significance of *NRAS* mutations is unclear, and no effective targeted therapies exist at this time (Sequist & Neal, 2023).

PIK3CA, *AKT1*, *PTEN* are the three genes involved in the same pathway. *PIK3CA* encodes the catalytic subunit of phosphatidylyl 3-kinase (PI3K), *AKT1* acts immediately downstream of PI3K, and phosphatase and tensin homolog (*PTEN*) inhibits *AKT* by dephosphorylation. Oncogenic alterations in this pathway include gain-of-function mutations in *PIK3CA* and *AKT1*, and loss of *PTEN* function. *PIK3CA* mutations may also cause resistance to *EGFR* TKIs in *EGFR*-mutated NSCLC. Small-molecule inhibitors of PI3K and *AKT* are being developed, but clinical efficacy of these agents against specific molecular alterations is unknown (Sequist & Neal, 2023).

Other genetic biomarkers include PD-L1 assessment and microsatellite instability (MSI) testing. Programmed death-1 ligand (PD-L1) expression testing via immunohistochemistry (IHC) is used to guide therapy for patients with NSCLC. Tumor cells present PD-L1 to T-cells to inhibit the immune response by downregulating cytokine production and T-cell proliferation, thereby allowing these tumor cells to evade immune system activity. Monoclonal antibody therapy (immune therapy) has been developed to inhibit this pathway and overcome this mechanism of immune system evasion (Teixidó, et al., 2018). Tumor PD-L1 protein expression through immunohistochemistry can be ordered to pinpoint first-line treatment options for NSCLC outside of chemotherapy (Sequist & Neal, 2023).

Microsatellites are short tandem repeat sequences located throughout the genome. However, these sequences are subject to instability caused by faulty mismatch repair genes. This has historically been

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reported in other cancers, such as Lynch syndrome, and has been reported in NSCLC. MSI testing may be used to evaluate NSCLC cases (Fong, et al., 1995).

Precision oncology is now the evidence-based standard of care for the management of many advanced NSCLCs. Expert consensus guidelines have defined minimum requirements for routine testing and identification of epidermal growth factor (*EGFR*) and anaplastic lymphoma kinase (*ALK*) mutations) in advanced lung adenocarcinomas. Targeted use of TKIs based on certain genetic mutations has consistently led to more favorable outcomes compared with traditional cytotoxic agents (Shea, et al., 2016). The concept of targeted testing has been approved by the FDA, as package inserts for drugs such as erlotinib specify use for *EGFR* mutations and other drugs such as pembrolizumab have gained approval for specific types of tumors (in this case, high-MSI tumors) (Boyiadzis et al., 2018; FDA, 2004; Lemery, et al., 2017). Proprietary tests are available for identification of relevant mutations, including larger genetic panels. Foundation One's 324-gene panel and OncoPrint's 23-gene panel are both FDA-approved as companion diagnostics for non-small cell lung cancer targeted therapies (FDA, 2023). Recently, Guardant 360 CDx was FDA-approved for use as a companion diagnostic to identify NSCLC patients with *EGFR* mutations who may benefit from Tagrisso (osimertinib) (Guardant, 2021). The company Cobas has a diagnostic approved to identify patients with metastatic NSCLC who might benefit from Tarceva® (erlotinib) based on formalin-fixed tissue preparation to identify *EGFR* mutation; a Cobas assay was also FDA approved as a companion diagnostic using liquid biopsy and circulating-free tumor DNA (FDA, 2016).

Clinical Utility and Validity

Lin et al. (2017) evaluated the association between *EGFR* and *EGFR*-TKI efficacy in stage IV NSCLC patients. In this study, 94 patients were assessed. The authors calculated a 74.5% objective response rate and a 97.9% disease control rate for *EGFR*-TKI treatment. The authors concluded that *EGFR*-TKI therapy resulted in survival benefits for *EGFR*-mutant patients regardless of “gender, smoking history, pathologic type, type of *EGFR* mutations, brain metastasis and timing of targeted therapy” (Lin et al., 2017).

Li et al. (2017) examined the effect of number of *EGFR* mutations on the efficacy of *EGFR* TKIs. In this study, 201 patients with *EGFR* mutations were evaluated. These patients were quantitatively separated into “low” and “high” groups based on “amplification refractory mutation system (ARMS) method optimized with competitive blockers and specific mutation quantitation (ARMS+)”. The cutoff value was determined by a receiving operating characteristic analysis in a training group and further validated in another group. The investigators found the median progression-free survival (PFS) to be 15 months in the high group compared to the two months in the low group. Similar results were reported in the validation group. The authors concluded that the abundance of *EGFR* mutations was significantly associated with objective response to *EGFR* TKIs. However, they also noted the abundance of *EGFR* T790M mutation may adversely affect PFS rather than objective response rate (Li et al., 2017).

Wang et al. (2017) investigated the effect of *ALK* rearrangements on NSCLC patients. The authors reviewed 15 studies including 4981 patients. The study found that *ALK* positive (*ALK*+) patients faced better prognoses (hazard ratio 0.81 of *ALK* negative patients) except in the non-smoking population (hazard ratio 1.65). *ALK*+ patients also experienced a significantly higher objective response rate in pemetrexed-based chemotherapy, but not with *EGFR*-TKI treatment (Wang et al., 2017).

Gainor et al. (2016) performed a study evaluating the efficacy of PD-L1 blockades on *EGFR* and *ALK* positive patients. The study evaluated 58 patients; 28 had an *EGFR* or *ALK* mutation whereas 30 were wild-type. The investigators found only one of the 28 patients (3.6%) with either mutation had an objective response whereas seven of the 30 (23.3%) wild-type patients had an objective response (Gainor et al., 2016).

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Planchard et al. (2016) evaluated the efficacy of the FDA-approved combination of daBRAFenib plus trametinib on previously treated *BRAF*-mutant metastatic NSCLC. The study included 57 patients; 36 of these patients achieved an overall response. However, serious adverse events were reported in 32 of these patients. The authors concluded that this combination may represent a robust therapy with a management safety profile in *BRAF*-positive NSCLC patients (Planchard et al., 2016).

A 2019 comprehensive study by Singal et al.(2019) examined the electronic health records (EHR) of 4064 individuals with NSCLC from 275 different oncology practices to explore “associations between tumor genomics and patient characteristics with clinical outcomes...” They note that 21.4% of these individuals had a mutation in *EGFR*, *ALK*, or *ROS1*, and that patients with driver mutations who had targeted therapies had significantly improved overall survival times than individuals who did not have targeted therapies (median of 18.6 versus 11.4 months, respectively); moreover, a tumor mutational burden (TMB) of 20 or higher was associated with improved overall survival for patients on PD-L1-targeted therapy than those patients with a TMB less than 20. The authors concluded that they replicated similar associations from previous research “between clinical and genomic characteristics, between driver mutations and response to targeted therapy, and between TMB and response to immunotherapy (Singal et al., 2019).

Siena et al. (2019) reported integrated data from three clinical trials focusing on entrectinib. Patients had either *ROS1*-driven or *NTRK*-driven cases of NSCLC. Out of 53 patients with *ROS1* mutations, approximately 80% responded to entrectinib. Out of 54 patients with *NTRK* mutations, approximately 60% responded. The authors considered entrectinib to be “tolerable with a manageable safety profile,” and concluded that “entrectinib induced clinically meaningful durable responses in [patients] with *ROS1*+ NSCLC or *NTRK*+ solid tumors with or without CNS disease” (Siena et al., 2019).

Volckmar et al. (2019) assessed the “feasibility and clinical utility of comprehensive, NGS-based genetic profiling for routine workup of advanced NSCLC”. The authors based their study on the first 3000 patients seen in their facility. Of the patients tested, the authors identified 807 patients eligible for “currently approved, *EGFR*-/*BRAF*-/*ALK*- and *ROS1*-directed therapies”, while 218 additional cases with *MET*, *ERBB2* (*HER2*) and *RET* alterations could “potentially benefit from experimental targeted compounds”. Other co-mutations such as *TP53* and *STK11* were also frequently identified, which may be potentially useful predictive and prognostic tools. The authors also noted logistical successes, such as reliability, low dropout rate, fast turnaround times, and minimal tissue requirements. Overall, the authors concluded that this diagnostic approach demonstrated “practicability in order to support individualized decisions in routine patient care, enrollment in molecularly stratified clinical trials, as well as translational research” (Volckmar et al., 2019).

Signorovitch et al. (2019) aimed to evaluate the “budget impact of increased use of CGP[comprehensive genomic profiling] using a 324-gene panel (FoundationOne) vs non-CGP (represented by a mix of conventional molecular diagnostic testing and smaller NGS hotspot panels) and the number needed to test with CGP to gain 1 life year”. The authors developed a decision analytic model to assess the financial impact of increased CGP in advanced non-small cell lung cancer (NSCLC). The study included two million covered lives, of which 532 had advanced NSCLC. Of these patients, 266 underwent molecular diagnostic testing. An increased in CGP among those tested (from 2%-10%) was associated with a \$0.02 per member per month budget impact, of which \$0.013 “was attributable to costs of prolonged drug treatment and survival and \$0.005 to testing cost”. Overall, the addition of one life year was met with 12 patients tested. The authors concluded that a 2%-10% increase in CGP use was associated with a “modest budget impact, most of which was attributed to increased use of more effective treatment and prolonged survival” (Signorovitch et al., 2019).

In a prospective study, Peled et al. (2020) investigated the clinical utility of early cell free deoxyribose nucleic acid (cfDNA) analysis using Guardant 360 CDx in treatment-naive NSCLC patients. Ten patients were studied and the median time from blood draw to receiving the cfDNA results was 9 days. Actionable

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biomarkers were identified in four of the ten patients by both biopsy analysis and cfDNA analysis. Overall, three patients received biomarker-based treatment (one osimertinib, one alectinib, and one crizotinib). The authors concluded that "cfDNA analysis should be ordered by the pulmonologists early in the evaluation of patients with NSCLC, which might complement the tumor biopsy" (Peled et al., 2020).

Al-Ahmadi et al. (2021) studied the overall impact and racial differences of NGS testing in NSCLC patients. The study tested 295 patients with Stage IV NSCLC using the Foundation One assay and genomic differences were compared by race. "Patients undergoing NGS testing had significantly longer survival of 25.3 months versus those who did not undergo sequencing with a median survival of 14.6 months (P=.002) irrespective if they received targeted therapy or not. In addition, there was no difference in NGS results based on race. However, African American patients had a higher rate of mutations in *PBRM1*, *SETD2*, *TSC2*, and *FBXW7*. Overall, there is no racial difference in NGS utilization and testing does increase survival" (Al-Ahmadi et al., 2021).

Boeckx et al. (2020) convened a small study of 46 never-smoking, non-small cell lung cancer (NSCLC) patients to investigate genomic alterations in non-smoking individuals. There are few genomic studies focused primarily on this subgroup of patients with NSCLC who have never smoked. Whole exome and low-coverage whole genome sequencing were performed on tumors and matched germline DNA. Fewer somatic mutations, genomic breakpoints, and a smaller percentage of the genome with chromosomal instability in lung tumors were observed in non-smokers compared to smokers. In addition, *TSC22D1* was noted as a potential driver gene of NSCLC. The frequency of mutation of TP53, which is associated with negative long-term outcomes, was lower in those who were never-smokers than in smokers. That said, they found driver genes such as *EGFR* and *ERBB2*, as well as amplifications in *MET* were higher in never-smokers. The authors concluded there was a "more favorable prognosis for never smokers with NSCLC:" (Boeckx et al., 2020).

Guidelines and Recommendations

National Comprehensive Cancer Network (NCCN)

In the version 3.2023 update for NSCLC, NCCN states, "Numerous gene alterations have been identified that impact therapy selection. Testing of lung cancer specimens for these alterations is important for identification of potentially efficacious targeted therapies, as well as avoidance of therapies unlikely to provide clinical benefit" (NCCN, 2023). NCCN then expounds on their stance, providing a set of "several methodologies that are generally considerations for use" that is delineated below:

- Next-generation sequencing (NGS) is used in clinical laboratories. Not all types of alterations are detected by individual NGS assays and it is important to be familiar with the types of alterations identifiable in individual assays or combination(s) of assays.
- It is recommended at this time that when feasible, testing be performed via a broad, panel-based approach, most typically performed by next generation sequencing (NGS). For patients who, in broad panel testing don't have identifiable driver oncogenes (especially in never smokers), consider RNA-based NGS if not already performed, to maximize detection of fusion event.
- Real-time polymerase chain reaction (PCR) can be used in a highly targeted fashion (specific mutations targeted). When this technology is deployed, only those specific alterations that are targeted by the assay are assessed.
- Sanger sequencing requires the greatest degree of tumor enrichment. Unmodified Sanger sequencing is not appropriate for detection of mutations in tumor samples with less than 25% to 30% tumor after enrichment and is not appropriate for assays in which identification of subclonal events (eg, resistance mutations) is important. If Sanger sequencing is utilized, tumor enrichment methodologies are nearly always recommended.
- Any method that interrogates sequences other than a subset of highly specific alterations (eg, NGS, Sanger) has the potential to identify variants of uncertain significance (VUS). Any variant classified as a VUS, even if in a gene in which other variants are clinically actionable, should not be considered as a basis for therapy selection.

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- Other methodologies may be utilized, including multiplex approaches not listed above (ie, SNaPshot, MassARRAY).
- Fluorescence in situ hybridization (FISH) analysis is utilized for many assays examining copy number, amplification, and structural alterations such as gene rearrangements, FISH may have better sensitivity for gene amplification events in some circumstances” (NCCN, 2023).

In order “To minimize tissue use and potential wastage, the NCCN NSCLC Panel recommends that broad molecular profiling be done as part of biomarker testing using a validated test(s) that assesses a minimum of the following potential genetic variants: *ALK* rearrangements; *BRAF* mutations, *EGFR* mutations, *KRAS* mutations, *MEex14* skipping mutations, *NTRK1/2/3* gene fusions, *RET* rearrangements, and *ROS1* rearrangements. Both FDA and laboratory-developed test platforms are available that address the need to evaluate these and other analytes. Broad molecular profiling is also recommended to identify rare driver mutations for which effective therapy may be available, such as high-level *MET* amplifications and *ERBB2* mutations” (NCCN, 2023).

The NCCN also states that “First-line targeted therapy options are recommended for eligible patients with metastatic NSCLC and positive test results for actionable driver mutations such as: *ALK*, *BRAF*, *p.V600E*, *EGFR*, *METex14 skipping*, *NTRK 1/2/3*, *RET* and *ROS1*. “Second-line targeted therapy is recommended for patients with metastatic NSCLC and positive test results for *EGFR exon 20* insertions or *KRAS p.G12C* mutations” (NCCN, 2023).

In the 2022 NCCN update, the NCCN clarified that “any variant that is classified as VUS should not be used to select targeted therapy even if the VUS occurs in a gene in which other variants are clinically actionable.” (NCCN, 2023).

The NCCN Panel added important information about general standards for biomarker testing in eligible patients with NSCLC. They noted that broad molecular profiling is molecular testing that “identifies all of the classic actionable driver mutations described in the algorithm [eg. *ALK*, *BRAF*, *EGFR*, *KRAS*, *METex14* skipping, *NTRK 1/2/3*, *RET*, *ROS1*] using either a single assay or a combination of a limited number of assays—and optimally also identifies the emerging actionable molecular biomarkers, including high-level *MET* amplification and *ERBB2* mutations. Tiered testing approaches, based on the low prevalence of co-occurring biomarkers, are acceptable.” (NCCN, 2023).

EGFR mutations

EGFR mutations are most often assessed using RT-PCR, Sanger sequencing, and NGS. *EGFR* mutation status is important for determining use of tyrosine kinase inhibitor (TKI) therapies. *EGFR* mutations include, but are not limited to, exon 19 deletions, p.L858R point mutation, p.L861Q, p.G719X, p.S768I0, exon 20 insertion variants, and p.T790M. As a category 1 recommendation, *EGFR* mutation testing is recommended for advanced or metastatic disease, including adenocarcinoma, large cell, and NSCLC NOS. As a category 2A recommendation, it is recommended to consider it for individuals with squamous cell carcinoma who have never been smokers, small biopsy specimens, or mixed histology (NCCN, 2023).

ALK gene rearrangements

ALK gene rearrangements are most often assessed using FISH, but IHC can also be effective. The NCCN states that NGS can detect *ALK* fusions, but PCR is less likely to detect any ALF fusion with a novel partner(s). The most common fusion partner for *ALK* is EML4; however, many other partners have been isolated and identified. Like *EGFR*, *ALK* status is used in determining whether TKI therapies are appropriate. As a category 1 recommendation, *ALK* testing is recommended for advanced or metastatic disease, including adenocarcinoma, large cell, and NSCLC NOS. As a category 2A recommendation, it is recommended to consider it for individuals with squamous cell carcinoma who have never been smokers, small biopsy specimens, or mixed histology (NCCN, 2023).

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ROS1 rearrangements

In NSCLC, *ROS1* rearrangements can result in inappropriate *ROS1* kinase signaling. Similar to *ALK*, FISH break-apart testing is often used, but this methodology “may under-detect the FIG-*ROS1*variant” (NCCN, 2023). IHC requires confirmatory testing because it has a low specificity for *ROS1*. PCR, if used, can be unlikely to detect novel fusion partners. The use of NGS can detect *ROS1* fusions, but DNA based NGS is prone to under detection of *ROS1* fusions. *ROS1* status is important for responsiveness to oral *ROS1* TKIs. As category 2A recommendations, *ROS1* testing should be performed for advanced or metastatic disease, including adenocarcinoma, large cell, and NSCLC NOS; it should be considered in individuals with squamous cell carcinoma with small biopsy specimens or mixed histology. Entrectinib has been noted as a preferred treatment option for *ROS1* rearrangements in advanced or metastatic NSCLC by the NCCN since 2019. However, it should be noted that “Targeted real-time PCR assays are utilized in some settings, although they are unlikely to detect fusions with novel partners” (NCCN, 2023).

BRAF point mutations

Sequencing methods, especially NGS and Sanger, (ideally paired with tumor enrichment), and rtPCR are most often used for detecting *BRAF* point mutations. *BRAF* V600 mutations are associated with responsiveness to certain combination therapies. Many *BRAF* mutations have been identified in NSCLC, but the impact of these mutations is not well-understood as of date. As category 2A recommendations, *BRAF* testing should be performed for advanced or metastatic disease, including adenocarcinoma, large cell, and NSCLC NOS; it should be considered in individuals with squamous cell carcinoma with small biopsy specimens or mixed histology (NCCN, 2023).

KRAS point mutations

Like *BRAF*, sequencing methods are used in the identification of point mutations within the *KRAS* gene. For NSCLC, the most common *KRAS* mutations are located in codon 12 even though other point mutations may occur elsewhere. *KRAS* mutations have been linked as a prognostic indicator of poor survival and can impact *EGFR* TKI therapy. The NCCN states, “*EGFR*, *KRAS*, *ROS1*, and *ALK* genetic alterations do not usually overlap; thus, testing for *KRAS* mutations may identify patients who will not benefit from further molecular testing. A newly designed oral *KRAS* G12C inhibitor was found to be effective in use against the *KRAS* p.G12C mutation, but this class of inhibitor has not been evaluated for any other mutations (NCCN, 2023).

MET exon 14 skipping variants

NGS-based testing, particularly RNA-based as it provides improved detection, is used to detect *METex14* skipping events. Immunohistochemistry is not used. Oral TKI therapy is used to address a *METex14* skipping mutation when detected. The NCCN states that “NGS-based testing is the primary method for detection of *METex14* skipping events; RNA-based NGS may have improved detection. IHC is not a method for detection of *METex14* skipping” (NCCN, 2023).

RET

FISH break-apart probe methodology is one appropriate method used to detect a *RET* mutation, though it may under-detect some fusions. NCCN also states that “Targeted real-time reverse-transcriptase PCR assays are utilized in some settings, although they are unlikely to detect fusions with novel partners. NGS-based methodology has a high specificity, and RNA-based NGS is preferable to DNA-based NGS for fusion detection.” Sequencing methods such as NGS and rtPCR are effective but rtPCR has difficulty detecting fusions with novel partners. RNA-based NGS has better fusion detection capability than DNA-

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based NGS. Regardless of fusion partner, *RET* mutations are responsive to oral *RET* TKI therapies (NCCN, 2023).

PD-L1

PD-L1 is expressed on tumor cells; its presence is used to determine possible pembrolizumab therapy. The FDA has approved IHC use for assessing PD-L1. The FDA-approved companion diagnostic for PD-L1 guides utilization of pembrolizumab in patients with NSCLC and is based on the tumor proportion score. As a category 1 recommendation, PD-L1 testing is recommended for all cases of advanced or metastatic disease, including adenocarcinoma, large cell, NSCLC NOS, and squamous cell carcinoma. NCCN states that, in comparison to TMB, “PD-L1 expression level is a more useful immune biomarker than TMB for deciding how to use immunotherapy, because test results are obtained more quickly, less tissue is needed for testing, and data demonstrate relative reproducibility across platforms and individuals.” However, “While some clones for PD-L1 IHC are FDA-approved for specific indications, use of multiple IHC tests is not necessary, provided any individual IHC test has been internally validated for comparability for categorical results against the FDA-approved clone (NCCN, 2023).

NTRK gene fusion

The NCCN has an *NTRK* gene fusion positive algorithm where larotrectinib is to be used as a first-line therapy if the gene fusion was discovered prior to first-line systemic therapy. If the *NTRK* gene fusion was discovered during a different first-line systemic therapy, then they recommend completing the planned systemic therapy, including maintenance therapy, and then follow this first-line therapy up with larotrectinib. As a category 2A recommendation, the NCCN recommends *NTRK* gene fusion testing to be included as part of molecular profiling for all forms of advanced or metastatic disease, including adenocarcinoma, large cell, NSCLC NOS, and squamous cell carcinoma. “The NCCN NSCLC Panel recommends larotrectinib and entrectinib (both are category 2A) as either first-line or subsequent therapy options for patients with *NTRK* gene fusion-positive metastatic NSCLC based on data and the FDA approvals. As of the v3 2020 update, both agents are considered “preferred” first-line therapies for patients with *NTRK* gene fusion-positive metastatic disease (NCCN, 2023).

Tumor Mutational Burden (TMB)

NCCN reports that “In 2020, the NCCN Panel deleted tumor mutational burden (TMB) as an emerging immune biomarker based on clinical trial data and other issues”. Preliminary data from PFS from CHECKMATE 227, a phase 3 randomized trial with a complex design, had suggested that TMB might be a useful immune biomarker for deciding whether to use immunotherapy in patients with metastatic NSCLC, but updated data indicated that “overall survival was improved with nivolumab plus ipilimumab regardless of TMB or PD-L1 expression levels”. Furthermore, “Several trials have shown that high TMB levels do not correlate with PD-L1 expression levels in patients with NSCLC”. This lack of clinical data, coupled with technical problems with measuring TMB—including “: 1) lack of agreement on the definition of a cut off for designating high TMB levels; and 2) lack of standardization of TMB measurements across laboratories”—drives the NCCN Guidelines to “not recommend measurement of TMB levels before deciding whether to use nivolumab plus ipilimumab regimens or to use other ICIs, such as pembrolizumab” (NCCN 2023).

Emerging biomarkers to identify novel therapies

The NCCN version 2.2022 also lists the following emerging biomarkers to identify novel therapies for patients with metastatic NSCLC.

Genetic Alteration (ie. Driver event)	Available Targeted with Activity Against Driver Event in Lung Cancer
High-level <i>MET</i> amplification	Capmatinib

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	Tepotinib Crizotinib
<i>ERBB2(HER2)</i> mutations (Subsequent therapy)	Fam-trastuzumab deruxtecan-nxki Ado-trastuzumab emtansine

College of American Pathologists (CAP), the International Association for the Study of Lung Cancer (IASLC), and the Association for Molecular Pathology (AMP)

The CAP/IASLC/AMP joint guidelines indicate that “*EGFR* molecular testing should be used to select patients for *EGFR*-targeted TKI (tyrosine kinase inhibitor) therapy (Evidence Grade: A)” (Lindeman et al, 2013). Testing is recommended for adenocarcinomas and mixed lung cancers “regardless of histologic grade.” However, in the setting of fully excised lung cancer specimens, *EGFR* testing is not recommended for lung cancer without any adenocarcinoma component (Evidence Grade: A). In the setting of more limited lung cancer specimens where an adenocarcinoma component cannot be completely excluded, *EGFR* testing is recommended “in cases showing squamous or small cell histology but clinical criteria (eg, young age, lack of smoking history) may be useful in selecting a subset of these samples for testing” (Evidence Grade: A) (Lindeman et al. 2013). The 2018 CAP guidelines reaffirmed the 2013 guideline recommendations of universal testing of lung cancer patients with advanced-stage cancers with an adenocarcinoma component, using molecular diagnosis for activating “hot-spot” mutations in *EGFR* exons 18 to 21 with at least 1% prevalence (ie, codons 709 and 719, exon 19 deletion 768, and exon 20 insertions 790, 858, and 861) (Lindeman et al., 2018).

CAP also added the recommendation that: “In lung adenocarcinoma patients who harbor sensitizing *EGFR* mutations and have progressed after treatment with an *EGFR*-targeted tyrosine kinase inhibitor, physicians must use *EGFR* T790M mutational testing when selecting patients for third-generation *EGFR*-targeted therapy. Laboratories testing for *EGFR* T790M mutation in patients with secondary clinical resistance to *EGFR* targeted kinase inhibitors should deploy assays capable of detecting *EGFR* T790M mutations in as little as 5% of viable cells. (Lindeman et al., 2018).

The CAP recommendations were updated to include “3 categories into which genes should be placed. One set of genes must be offered by all laboratories that test lung cancers, as an absolute minimum: *EGFR*, *ALK*, and *ROS1*. A second group of genes should be included in any expanded panel that is offered for lung cancer patients: *BRAF*, *MET*, *RET*, *ERBB2 (HER2)*, and *KRAS*, if adequate material is available. All other genes are considered investigational at the time of publication.” They elaborate to recommend: “In this context, institutions providing care for lung cancer patients have a choice: (1) offer a comprehensive cancer panel that includes all of the genes in the first 2 categories (*EGFR*, *ALK*, *ROS1*, *BRAF*, *MET*, *ERBB2 [HER2]*, *KRAS*, *RET*) for all appropriate patients, or (2) offer targeted testing for the genes in the must-test category (*EGFR*, *ALK*, *ROS1*) for all appropriate patients and offer as a second test an expanded panel containing the second-category genes (*BRAF*, *MET*, *ERBB2 [HER2]*, and *RET*) for patients who are suitable candidates for clinical trials, possibly after performing a single-gene *KRAS* test to exclude patients with *KRAS*-mutant cancers from expanded panel testing (Lindeman et al., 2018). However, the CAP states that “*KRAS* molecular testing is not indicated as a routine stand-alone assay as a sole determinant of targeted therapy. It is appropriate to include *KRAS* as part of larger testing panels performed either initially or when routine *EGFR*, *ALK*, and *ROS1* testing are negative” and that “*RET*, *MET*, *KRAS*, and *ERBB (HER2)* molecular testing is not indicated as a routine stand-alone assay outside the context of a clinical trial. It is appropriate to include *RET*, *MET*, *KRAS*, and *ERBB (HER2)* as part of larger testing panels performed either initially or when routine *EGFR*, *ALK*, and *ROS1* testing are negative” (Lindeman et al., 2018).

The guidelines indicate that “*ALK* molecular testing should be used to select patients for *ALK*-targeted TKI therapy (Evidence Grade: B)” (Lindeman et al., 2013). Testing is recommended for adenocarcinomas and mixed lung cancers “regardless of histologic grade.” However, in the setting of fully excised lung cancer specimens, *ALK* testing is not recommended for lung cancer without any adenocarcinoma component (Evidence Grade: A). In the setting of more limited lung cancer specimens

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where an adenocarcinoma component cannot be completely excluded, *ALK* testing is recommended “in cases showing squamous or small cell histology but clinical criteria (eg, young age, lack of smoking history) may be useful in selecting a subset of these samples for testing” (Evidence Grade: A) (Lindeman et al., 2013).

The CAP recommends that “Multiplexed genetic sequencing panels are preferred over multiple single-gene tests to identify other treatment options beyond *EGFR*, *ALK*, and *ROS1*.” They found that “NGS enables the simultaneous assessment of all 3 of the “must-test” genes in lung cancer—*EGFR*, *ALK*, *ROS1*—as well as each of the genes suggested for inclusion in larger panels—*BRAF*, *RET*, *ERBB2*(*HER2*), *KRAS*, *MET*—and hundreds to thousands of other genes that may have potential roles in cancer development. In addition to small mutations, NGS assays can detect fusions/rearrangements and copy number changes in the targeted genes, if designed with these alterations in mind. Numerous studies have demonstrated the excellent sensitivity of NGS methods relative to single-gene targeted assays, particularly for single-nucleotide–substitution mutations. Next-generation sequencing methods typically require less input DNA and can accommodate smaller samples with lower concentrations of malignant cells, and, although typically slower than 1 single-gene assay, can often be performed more rapidly than sequential multiple single-gene assays. A reduced need for repeat biopsy is an additional benefit of panel testing” (Lindeman et al., 2018).

When asked about new genes that should be tested for in lung cancer patients, CAP strongly recommends that *ROS1* testing must be performed on all lung adenocarcinoma patients, irrespective of clinical characteristics” (Lindeman et al., 2018).

Other guidance was backed by expert consensus opinion and these recommendations include the following:

- “*ROS1* IHC may be used as a screening test in lung adenocarcinoma patients; however, positive *ROS1* IHC results should be confirmed by a molecular or cytogenetic method.”
- “*BRAF* molecular testing is currently not indicated as a routine stand-alone assay outside the context of a clinical trial. It is appropriate to include *BRAF* as part of larger testing panels performed either initially or when routine *EGFR*, *ALK*, and *ROS1* testing are negative.”
- “*RET* molecular testing is not recommended as a routine stand-alone assay outside the context of a clinical trial. It is appropriate to include *RET* as part of larger testing panels performed either initially or when routine *EGFR*, *ALK*, and *ROS1* testing are negative.”
- “*ERBB2* (*HER2*) molecular testing is not indicated as a routine stand-alone assay outside the context of a clinical trial. It is appropriate to include *ERBB2* (*HER2*) mutation analysis as part of a larger testing panel performed either initially or when routine *EGFR*, *ALK*, and *ROS1* testing are negative.”
- “*KRAS* molecular testing is not indicated as a routine stand-alone assay as a sole determinant of targeted therapy. It is appropriate to include *KRAS* as part of larger testing panels performed either initially or when routine *EGFR*, *ALK*, and *ROS1* testing are negative.”
- “*MET* molecular testing is not indicated as a routine stand-alone assay outside the context of a clinical trial. It is appropriate to include *MET* as part of larger testing panels performed either initially or when routine *EGFR*, *ALK*, and *ROS1* testing are negative” (Lindeman et al., 2018).

In 2018, CAP added the recommendation that “IHC is an equivalent alternative to FISH for *ALK* testing”, and that “although at the time of writing RT-PCR and NGS are not approved by the FDA in the United States as first-line methods for determining *ALK* status in selection of patients for *ALK* inhibitor therapy, these approaches have shown comparable performance with IHC when designed to detect the majority of fusions, and are standard practice in many other countries. These methods are highly specific for most

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fusions, and patients with positive results should be treated with an *ALK* inhibitor, although patients with negative results may benefit from a more sensitive method to exclude the possibility of a variant fusion. Similarly, amplicon-based NGS assays of DNA may likewise fail to detect all fusion variants, and therefore a capture-based DNA or RNA approach is preferred for NGS testing for *ALK* fusions. Current data are still too limited to develop a specific recommendation either for or against the use of NGS for *ALK* fusions as a sole determinant of *ALK* TKI therapy” (Lindeman et al., 2018).

When performing molecular testing, CAP suggests that “Multiplexed genetic sequencing panels are preferred over multiple single-gene tests to identify other treatment options beyond EGFR, ALK, and ROS1” and that “Laboratories should ensure test results that are unexpected, discordant, equivocal, or otherwise of low confidence are confirmed or resolved using an alternative method or sample” (Lindeman et al., 2018).

CAP states that testing indicated for patients with targetable mutations who have relapsed on targeted therapy include

- “In lung adenocarcinoma patients who harbor sensitizing EGFR mutations and have progressed after treatment with an EGFR-targeted tyrosine kinase inhibitor, physicians must use EGFR T790M mutational testing when selecting patients for third-generation EGFR-targeted therapy” (strong recommendation); and
- “Laboratories testing for EGFR T790M mutation in patients with secondary clinical resistance to EGFR-targeted kinase inhibitors should deploy assays capable of detecting EGFR T790M mutations in as little as 5% of viable cells” (recommendation).

However, CAP also finds that “There is currently insufficient evidence to support a recommendation for or against routine testing for *ALK* mutational status for lung adenocarcinoma patients with sensitizing *ALK* mutations who have progressed after treatment with an *ALK*-targeted tyrosine kinase inhibitor” (Lindeman et al., 2018).

Regarding the use of circulating cell-free DNA, CAP claims that “There is currently insufficient evidence to support the use of circulating cell-free plasma DNA molecular methods for the diagnosis of primary lung adenocarcinoma.” Moreover, “There is currently insufficient evidence to support the use of circulating tumor cell molecular analysis for the diagnosis of primary lung adenocarcinoma, the identification of EGFR or other mutations, or the identification of EGFR T790M mutations at the time of EGFR TKI resistance.” However, CAP concedes that “In some clinical settings in which tissue is limited and/or insufficient for molecular testing, physicians may use a cell-free plasma DNA assay to identify EGFR mutations” and that “Physicians may use cell-free plasma DNA methods to identify EGFR T790M mutations in lung adenocarcinoma patients with progression or secondary clinical resistance to EGFR-targeted tyrosine kinase inhibitors; testing of the tumor sample is recommended if the plasma result is negative” (Lindeman et al., 2018).

American Society of Clinical Oncology (ASCO)

The ASCO published a joint update on “Therapy for Stage IV Non–Small-Cell Lung Cancer Without Driver Alterations” with Ontario Health (OH). These guidelines are intended for patients without alterations in *EGFR* or *ALK*. These recommendations divide PD-L1 expression into three categories: negative (0%), low positive (1-49%) and high (>50%). Pembrolizumab, carboplatin, pemetrexed, atezolizumab, paclitaxel, and bevacizumab are all listed as potential treatments, some of which may stand alone and some which are to be used in combination (Hanna et al., 2021).

Another joint update with Cancer Care Ontario remarked that “Mutations in *KRAS* are not predictive for benefit from adjuvant chemotherapy” (Kris et al., 2017).

ASCO published an endorsement of the joint guidelines from the CAP/IASLC/AMP with some minor modifications. Relevant differences from the joint guidelines include:

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- *BRAF* testing should be performed on all patients with advanced lung adenocarcinoma, irrespective of clinical characteristics.
- Physicians may use molecular biomarker testing in tumors with an adenocarcinoma component or nonsquamous non-small-cell histology (in addition to “any non-small-cell histology when clinical features indicate a higher probability of an oncogenic driver (eg, young age [50 years]; light or absent tobacco exposure)” (Kalemkerian et al., 2018).

European Society for Medical Oncology (ESMO)

According to ESMO, genetic alterations, which are key oncogenic events (driver mutations), have been identified in NSCLC, with two of these—*EGFR* mutations and the anaplastic lymphoma kinase (*ALK*) rearrangements—determining approved, selective pathway-directed systemic therapy. The ESMO guidelines do not specifically mention *KRAS* mutation testing. NGS is also mentioned for *ALK*, *RET*, *ROS1*, *MET*, *HER2*, and *BRAF* mutations (Novello et al., 2016).

ESMO remarks that the role of targeted agents in stages I-III NSCLC have not been evaluated properly. Therefore, they state that “there is no role for targeted agents in stage III NSCLC outside clinical trials” (Postmus et al., 2017).

ESMO published a guideline regarding metastatic NSCLC in 2020. In it, they note *EGFR*, *ALK*, *ROS1*, *BRAF*, and PD-L1 expression as usable biomarkers for “personalized medicine”. *HER2*, *MET*, *NTRK*, and *RET* are considered “evolving targets/biomarkers”. ESMO’s specific recommendations are listed below:

- “*EGFR* mutation status should be systematically analyzed in advanced NSCC [non-small cell lung cancer] [level of evidence “I”, strength of recommendation “A”]. Test methodology should have adequate coverage of mutations in exons 18–21, including those associated with resistance to some therapies [III, B]. At a minimum, when resources or material are limited, the most common activating mutations (exon 19 deletion, exon 21 L858R point mutation) should be determined”
- “The availability of TKIs effective against T790M-mutant recurrent disease makes T790M testing on disease relapse mandatory [I, A]”
- “Testing for *ALK* rearrangement should be systematically carried out in advanced non-squamous NSCLC [I, A]”
- “Testing for *ROS1* rearrangement should be systematically carried out in advanced NSCLC [III, A]. Detection of the *ROS1* translocation by FISH remains a standard; IHC may be used as a screening approach [IV, A]”
- “*BRAF* V600 mutation status should be systematically analyzed in advanced NSCLC for the prescription of *BRAF*/MEK inhibitors”
- “Molecular *EGFR* and *ALK* testing are not recommended in patients with a confident diagnosis of SCC, except in unusual cases, e.g. never/former light smokers or long-time ex-smokers”
- “If available, multiplex platforms (NGS) for molecular testing are preferable [III, A].”
- “PD-L1 IHC should be systematically determined in advanced NSCLC [I, A]”
- “Testing is required for pembrolizumab therapy but may also be informative when nivolumab or atezolizumab are used” (Planchard et al., 2020).

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In 2023, EMSO issued clinical practice guidelines focusing on oncogene-addicted metastatic non-small-cell lung cancer. These guidelines include:

- “Adequate tissue material for histological diagnosis and molecular testing should be obtained to allow for individual treatment decisions [IV, A].”
- “Pathological diagnosis should be made according to the 2021 World Health Organization classification of lung tumours [IV, A].
- “Specific subtyping of all NSCLCs is necessary for therapeutic decision making and should be carried out wherever possible. IHC stains should be used to reduce the NSCLC-not otherwise specified rate to fewer than 10% of cases diagnosed [IV, A].
- The molecular tests below are recommended in patients with advanced non-squamous-cell carcinoma, and not recommended in patients with a confident diagnosis of squamous-cell carcinoma, except in unusual cases, e.g. young (<50 years) patients, never (<100 cigarettes in a lifetime)/former light smokers (≤15 pack-years, all kinds of tobacco) or long-time ex-smokers (quit smoking >15 years ago, all kinds of tobacco) [IV, A].
- *EGFR* mutation status should be determined [I, A]. Test methodology should have adequate coverage of mutations in exons 18-21, including those associated with resistance to some therapies [III, A]. At a minimum, when resources or material are limited, the most common activating mutations (exon 19 deletion, exon 21 L858R point mutation) should be determined [I, A].
- The availability of TKIs effective against T790M-mutated recurrent disease makes T790M testing on disease relapse on first- or second-generation *EGFR* TKIs mandatory [I, A].
- Testing for *ALK* rearrangements should be carried out [I, A].
- Detection of the *ALK* translocation by FISH remains a standard, but IHC with high-performance *ALK* antibodies and validated assays may be used for screening [III, A] and have been accepted as an equivalent alternative to FISH for *ALK* testing.
- Testing for *ROS1* rearrangements should be carried out [II, A]. Detection of the *ROS1* translocation by FISH remains a standard; IHC may be used as a screening approach [IV, A].
- *BRAF V600* mutation status testing should be carried out [II, A].
- Testing for *NTRK* rearrangements should be carried out [II, A]. Screening for *NTRK* rearrangements may use IHC or NGS, with appropriate testing follow-up to validate a positive result [II, A].
- Testing for *MET* exon 14 skipping mutations, *MET* amplifications, *RET* rearrangements, *KRAS G12C* mutations and *HER2* mutations should be carried out [II, A].
- If available, multiplex platforms (NGS) for molecular testing are preferable [III, A].
- RNA-based NGS is preferred for identifying an expanding range of fusion genes [III, B]. Whichever testing modality is used, it is mandatory that adequate internal validation and quality control measures are in place and that laboratories participate in, and perform adequately in, external quality assurance schemes for each biomarker test [III, A].
- cfDNA (liquid biopsy) can be used to test for oncogenic drivers as well as resistance mutations, but all patients with a negative cfDNA blood test still require tissue biopsy [II, A]” (Hendriks et al., 2023).

National Institute for Health and Care Excellence (NICE)

NICE has provided guidance for *EGFR*-TK mutation testing in adults with locally advanced or metastatic non-small-cell lung cancer.

- “1.1 The tests and test strategies listed below are recommended as options for detecting epidermal growth factor receptor tyrosine kinase (*EGFR*-TK) mutations in the tumors of adults with

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previously untreated, locally advanced or metastatic non-small-cell lung cancer (NSCLC), when used in accredited laboratories participating in an external quality assurance scheme. The laboratory-developed tests should be designed to detect the mutations that can be detected by one of the CE-marked tests as a minimum.

- therascreen EGFR RGQ PCR Kit (CE-marked, Qiagen)
 - cobas EGFR Mutation Test (CE-marked, Roche Molecular Systems)
 - Sanger sequencing of samples with more than 30% tumor cells and therascreen EGFR RGQ PCR Kit for samples with lower tumour cell contents
 - Sanger sequencing of samples with more than 30% tumor cells and cobas EGFR Mutation Test for samples with lower tumor cell contents
 - Sanger sequencing followed by fragment length analysis and polymerase chain reaction (PCR) of negative samples.
- 1.2 There was insufficient evidence for the Committee to make recommendations on the following methods:
- high-resolution melt analysis
 - pyrosequencing combined with fragment length analysis
 - single-strand conformation polymorphism analysis
 - next-generation sequencing
 - therascreen EGFR Pyro Kit (CE-marked, Qiagen)” (NICE, 2013).

Applicable State and Federal Regulations

Food and Drug Administration (FDA)

Many labs have developed specific tests that they must validate and perform in house. These laboratory-developed tests (LDTs) are regulated by the Centers for Medicare and Medicaid (CMS) as high-complexity tests under the Clinical Laboratory Improvement Amendments of 1988 (CLIA '88). LDTs are not approved or cleared by the U. S. Food and Drug Administration; however, FDA clearance or approval is not currently required for clinical use.

Billing/Coding/Physician Documentation Information

This policy may apply to the following codes. Inclusion of a code in this section does not guarantee that it will be reimbursed. For further information on reimbursement guidelines, please see Administrative Policies on the Blue Cross Blue Shield of North Carolina web site at www.bcbsnc.com. They are listed in the Category Search on the Medical Policy search page.

Applicable service codes: 81194, 81210, 81235, 81275, 81276, 81404, 81405, 81406, 81479, 88342, 88360, 8836, 0414U

BCBSNC may request medical records for determination of medical necessity. When medical records are requested, letters of support and/or explanation are often useful, but are not sufficient documentation unless all specific information needed to make a medical necessity determination is included.

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Medical Director review 8/2019

Specialty Matched Consultant Advisory Panel 3/2020

Medical Director review 3/2020

Medical Director review 7/2020

Specialty Matched Consultant Advisory Panel 3/2021

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Medical Director review 7/2023

Policy Implementation/Update Information

- 1/1/2019 New policy developed. BCBSNC will provide coverage for testing for targeted therapy of non-small-cell lung cancer when it is determined to be medically necessary and criteria are met. Medical Director review 1/1/2019. Policy noticed 1/1/2019 for effective date 4/1/2019. (lpr)
- 10/1/19 Reviewed by Avalon 2nd Quarter 2019 CAB. Extensive revisions to “When Covered” section: Reordered and reworded indications, new statements for #2, 4, 5. Added note: For 5 or more gene tests being run on a tumor specimen (i.e. non-liquid biopsy) on the same platform, such as multi-gene panel next generation sequencing, please refer to AHS-R2162 Reimbursement Policy. Deleted coding table from Billing/Coding section and deleted CPT codes 81445, 81450, 81455. Medical Director review 8/2019. (lpr)
- 10/29/19 Wording in the Policy, When Covered, and/or Not Covered section(s) changed from Medical Necessity to Reimbursement language, where needed. (hb)
- 2/11/20 Reviewed by Avalon Q4 2019 CAB. Removed CPT 81538 from Billing/Coding section. Under “When Covered” section added entrectinib to statement “Testing for NTRK gene is considered medically necessary for individuals with metastatic or advanced NSCLC before first-line or subsequent therapy with larotrectinib or entrectinib. Medical Director review 2/2020. (lpr)
- 5/12/20 Specialty Matched Consultant Advisory Panel review 3/18/2020. No change to policy statement. (lpr)
- 7/28/20 Reviewed by Avalon Q2 2020 CAB. Under “When Covered” section: removed references to “larotrectinib or entrectinib” and replaced with “targeted therapy” for statement “Testing for NTRK gene fusion.” Medical Director review 7/2020. (lpr)
- 4/6/21 Specialty Matched Consultant Advisory Panel review 3/17/21. Revised “When Covered” section: “Testing for EGFR, BRAF, MET Exon 14 Skipping mutations, ALK, ROS1, and RET rearrangements is considered medically necessary for targeted therapy for advanced non-small-cell lung cancer (NSCLC) before systemic therapy initiation.” Medical Director review 3/2021. (lpr)
- 8/24/21 Reviewed by Avalon Q2 2021 CAB. Added Related Policies section, updated Policy Guidelines and References. Added CPT code 81479. Medical Director review 7/2021. (lpr)
- 9/13/22 Reviewed by Avalon 2nd Quarter 2022 CAB. Medical Director review 8/2022. Updated policy guidelines and references. Updated When Covered section for full adoption of AHS policy. Under Related policies section, removed AHS-M2109 Molecular Panel Testing of Cancers to Identify Targeted Therapy and added AHS-M2178 Microsatellite Instability and Tumor Mutational Burden Testing. Under Billing/Coding section, added CPT codes 81194, 81405, 81406, 81479; removed CPT codes 81301, 81401, 88271, 88272, 88273. (lpr)
- 8/15/23 Reviewed by Avalon Q2 2023 CAB. Medical Director review 7/2023. Updated description, policy guidelines and references. Edited “When Covered” section for clarity and consistency. Removed statements testing for BRAF, EGFR, MET, ALK, RET, ROS1

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before any systemic therapy initiation in individuals w/NSCLC; NTRK gene fusion for individuals w/NSCLC before 1st line or subsequent therapy due to repetition. (lpr)

9/29/23 Added PLA code 0414U to Billing/Coding section for 10/1/23 code update. (lpr)

Medical policy is not an authorization, certification, explanation of benefits or a contract. Benefits and eligibility are determined before medical guidelines and payment guidelines are applied. Benefits are determined by the group contract and subscriber certificate that is in effect at the time services are rendered. This document is solely provided for informational purposes only and is based on research of current medical literature and review of common medical practices in the treatment and diagnosis of disease. Medical practices and knowledge are constantly changing and BCBSNC reserves the right to review and revise its medical policies periodically.